



# Sedex Members Ethical Trade Audit Report

**Version 6.1**



Audit Details			
Sedex Company Reference: <i>(only available on Sedex System)</i>	ZC: 1030730	Sedex Site Reference: <i>(only available on Sedex System)</i>	ZS: 4148576211
Business name (Company name):	Wiegand-Glashüttenwerke GmbH		
Site name:	Wiegand-Glashüttenwerke GmbH – Plant Steinbach (STB) and Plant Schleusingen (SL)		
Site address: <i>(Please include full address)</i>	Wiegand-Glashüttenwerke GmbH, Otto-Wiegand-Str. 9, D-96361 Steinbach Wiegand-Glashüttenwerke GmbH, Suhler Str. 60, D-98553 Schleusingen	Country:	Germany
Site contact and job title:	Constanze Meusel-Burscheid – Head of Management Systems		
Site phone:	+49 (0) 9263 801342	Site e-mail:	constanze.meusel-burscheid@wiegand-glas.de
SMETA Audit Pillars:	<input checked="" type="checkbox"/> Labour Standards	<input checked="" type="checkbox"/> Health & Safety (plus Environment 2-Pillar)	<input checked="" type="checkbox"/> Environment 4-pillar <input checked="" type="checkbox"/> Business Ethics
Date of Audit:	26.-30.07.2021		

<ul style="list-style-type: none"> <li><b>Audit Company Name &amp; Logo:</b></li> </ul>	<ul style="list-style-type: none"> <li><b>Report Owner (payer):</b> Wiegand-Glashüttenwerke GmbH</li> </ul>
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• Audit Conducted By					
Affiliate Audit Company	<input checked="" type="checkbox"/>	Purchaser	<input type="checkbox"/>	Retailer	<input type="checkbox"/>
Brand owner	<input type="checkbox"/>	NGO	<input type="checkbox"/>	Trade Union	<input type="checkbox"/>
Multi-stakeholder	<input type="checkbox"/>	Combined Audit (select all that apply)			

If you have any concerns or queries about this SMETA report or the associated SMETA audit, please contact [grievance@sedex.com](mailto:grievance@sedex.com).

To confirm the validity of this report, please visit <https://www.sedex.com/audit-verifier/>

## Audit Content:

(1) A SMETA audit was conducted which included some or all of Labour Standards, Health & Safety, Environment and Business Ethics. The SMETA Best Practice Version 6.1 was applied. The scope of workers included all types at the site e.g. direct employees, agency workers, workers employed by service providers and workers provided by other contractors. Any deviations from the SMETA Methodology are stated (with reasons for deviation) in the SMETA Declaration.

(2) The audit scope was against the following reference documents

### 2-Pillar SMETA Audit

- ETI Base Code
- SMETA Additions
  - Universal rights covering UNGP
  - Management systems and code implementation,
  - Responsible Recruitment
  - Entitlement to Work & Immigration,
  - Sub-Contracting and Home working,

### 4-Pillar SMETA

- 2-Pillar requirements plus
- Additional Pillar assessment of Environment
- Additional Pillar assessment of Business Ethics
- The Customer's Supplier Code (Appendix 1)

(3) Where appropriate non-compliances were raised against the ETI code / SMETA Additions & local law and recorded as non-compliances on both the audit report, CAPR and on Sedex.

(4) Any Non-Compliance against customer code shall not be uploaded to Sedex. However, in the CAPR these 'Variances in compliance between ETI code / SMETA Additions/ local law and customer code' shall be noted in the observations section of the CAPR.

## SMETA Declaration

I declare that the audit underpinning the following report was conducted in accordance with SMETA Best Practice Guidance and SMETA Measurement Criteria.

- (1) Where appropriate non-compliances were raised against the ETI code / SMETA Additions & local law and recorded as non-compliances on both the audit report, CAPR and on Sedex.
- (2) Any Non-Compliance against customer code alone shall not be uploaded to Sedex. However, in the CAPR these 'Variances in compliance between ETI code / SMETA Additions/ local law and customer code' shall be noted in the observations section of the CAPR.

Any exceptions to this must be recorded here (e.g. different sample size): In respect to the German Data Protection Law it was agreed in advance to shown no detailed figures (salaries, hours, etc) in the report. It was not allowed to take photos (except for the inserted ones). The deviation starts and finish times were agreed whit the client in advance.

Auditor Team (s) (please list all including all interviewers):

Lead auditor:	Roland Brodbeck	APSCA number:	21704266
Lead auditor APSCA status:	Lead Auditor		
Team auditor:	NA	APSCA number:	NA
Interviewers:	Roland Brodbeck	APSCA number:	21704266

Report writer: Roland Brodbeck – Lead Auditor  
 Report reviewer: Rama Rao – Global Review

Date of declaration: 30/07/2021

*Note: The focus of this ethical audit is on the ETI Base Code and local law. The additional elements will not be audited in such depth or scope, but the audit process will still highlight any specific issues.*

*This report provides a summary of the findings and other applicable information found/gathered during the social audit conducted on the above date only and does not officially confirm or certify compliance with any legal regulations or industry standards. The social audit process requires that information be gathered and considered from records review, worker interviews, management interviews and visual observation. More information is gathered during the social audit process than is provided here. The audit process is a sampling exercise only and does not guarantee that the audited site prior, during or post-audit, are in full compliance with the Code being audited against. The provisions of this Code constitute minimum and not maximum standards and this Code should not be used to prevent companies from exceeding these standards. Companies applying this Code are expected to comply with national and other applicable laws and where the provisions of law and this Code address the same subject, to apply that provision which affords the greater protection. The ownership of this report remains with the party who has paid for the audit. Release permission must be provided by the owner prior to release to any third parties.*